

# Reaction-rate formula in out-of-equilibrium quantum-field theory

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A complete derivation, from first principles, of the reaction-rate formula for a generic reaction taking place in an out-of-equilibrium quantum-field system is given. It is shown that the formula involves no finite-volume correction. Each term of the reaction-rate formula represents a set of physical processes that contributes to the reaction under consideration.

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## I. INTRODUCTION

Ultrarelativistic heavy-ion-collision experiments at the BNL Relativistic Heavy Ion Collider (RHIC) and at the CERN Large Hadron Collider (LHC) will soon start in anticipation of producing a quark-gluon plasma (QGP). Confirmation of QGP formation is realized through analyzing rates of various reactions taking place in a QGP. So far, the reaction-rate formula is derived for reactions taking place in the system in thermal and chemical equilibrium [1–4]. The actual QGP is, however, not in equilibrium but is an expanding nonequilibrium system.

In this paper, as a generalization of [1–4], we present a first-principles derivation of the reaction-probability formula for reactions occurring in a nonequilibrium system. We find that the formula involves no finite-volume corrections. We also find from the procedure of derivation that different contributions to the reaction-probability formula have a clear physical interpretation, which is summarized as “out-of-equilibrium cutting rules.”

In Sec. II, we derive from first principles the formula for the transition probability of a generic reaction taking place in a nonequilibrium system. In Sec. III, specializing to quasi-uniform systems near equilibrium or nonequilibrium quasi-stationary systems,<sup>1</sup> we further deduce the formula, finding that the formula is written in terms of the closed-time-path formalism of real-time thermal field theory [5]. In Sec. IV, we present a calculational procedure of a generic reaction-probability formula obtained in Sec. III.

## II. NONEQUILIBRIUM REACTION-PROBABILITY FORMULA

### A. Preliminaries

The formalism presented in this paper can be applied to a broad class of theories including QCD (cf. the end of Sec. III), but, for simplicity of presentation, we take a system of self-interacting, neutral scalars  $\phi$ 's with mass  $m$  and  $\lambda\phi^4$

interaction. The system is inside a cube with volume  $V = L^3$ . Employing periodic boundary conditions, we label the single-particle basis by its momentum  $\mathbf{p}_k = 2\pi\mathbf{k}/L$ ,  $k_j = 0, \pm 1, \pm 2, \dots, \pm \infty$  ( $j=1,2,3$ ).

Physically interesting reactions are of the following generic type:

$$\{A\} + \text{nonequilibrium system} \rightarrow \{B\} + \text{anything}. \quad (2.1)$$

Here  $\{A\}$  and  $\{B\}$  designate groups of particles, which are different from  $\phi$ . Examples are highly virtual particles, heavy particles, and particles interacting weakly with  $\phi$ 's. The generalization to a more general process, where among  $\{A\}$  and/or  $\{B\}$  are  $\phi$ 's, is straightforward (cf. [4]). For definiteness, let us assume that  $\{A\}$  consists of  $l\Phi$ 's and  $\{B\}$  consists of  $l'\Phi$ 's. Here  $\Phi$  is a heavy neutral scalar of mass  $M$ , so that  $\Phi$  is absent in the system. For simplicity of presentation, we assume a  $\Phi$ - $\phi$  coupling to be of the form  $-g\Phi\phi^n/n!$  ( $n \geq 2$ ).

The transition or reaction probability  $\mathcal{P}$  of the process (2.1) is written as

$$\mathcal{P} = \mathcal{N}/\mathcal{D}, \quad (2.2a)$$

$$\begin{aligned} \mathcal{N} \equiv & \sum_{\{\mathbf{k}\}} \sum_{\{n_{\mathbf{k}}\}} \sum_{\{m_{\mathbf{k}}\}} \sum_{\{n'_{\mathbf{k}}\}} \langle \{A\}; \{m_{\mathbf{k}}\} | S^\dagger | \{n'_{\mathbf{k}}\}; \{B\} \rangle \\ & \times \langle \{B\}; \{n'_{\mathbf{k}}\} | S | \{n_{\mathbf{k}}\}; \{A\} \rangle \langle \{n_{\mathbf{k}}\} | \rho | \{m_{\mathbf{k}}\} \rangle \mathbf{S}, \end{aligned} \quad (2.2b)$$

$$\begin{aligned} \mathcal{D} \equiv & \sum_{\{\mathbf{k}\}} \sum_{\{n_{\mathbf{k}}\}} \sum_{\{m_{\mathbf{k}}\}} \sum_{\{n'_{\mathbf{k}}\}} \langle \{m_{\mathbf{k}}\} | S^\dagger | \{n'_{\mathbf{k}}\} \rangle \langle \{n'_{\mathbf{k}}\} | S | \{n_{\mathbf{k}}\} \rangle \\ & \times \langle \{n_{\mathbf{k}}\} | \rho | \{m_{\mathbf{k}}\} \rangle \mathbf{S}. \end{aligned} \quad (2.2c)$$

Here  $\mathbf{S}$  is the symmetry factor [6],  $\rho$  is the density matrix, and  $\langle \{B\}; \{n'_{\mathbf{k}}\} | S | \{n_{\mathbf{k}}\}; \{A\} \rangle$  is an  $S$ -matrix element of the *vacuum-theory process*,

$$\{A\} + \{n_{\mathbf{k}}\} \rightarrow \{B\} + \{n'_{\mathbf{k}}\},$$

where  $\{n_{\mathbf{k}}\}$  denotes the group of  $\phi$ 's, which consists of the number  $n_{\mathbf{k}}$  of  $\phi_{\mathbf{k}}$  ( $\phi$  in a mode  $\mathbf{k}$ ). In Eqs. (2.2),  $\sum_{\{\mathbf{k}\}}$  denotes summation over momentum/momenta of  $\phi/\phi$ 's in the final state  $|\{n'_{\mathbf{k}}\}; \{B\}\rangle$ , and of  $\phi/\phi$ 's in the “two” initial states  $|\{m_{\mathbf{k}}\}; \{A\}\rangle$  and  $|\{n_{\mathbf{k}}\}; \{A\}\rangle$ . (Among the final states

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<sup>1</sup>The framework for dealing with such systems is comprehensively discussed in [5].

$|\{n'_k\};\{B\}\rangle$ , is  $|0;\{B\}\rangle$ . This is also the case for “two” initial states.) Note that the perturbation series for  $\mathcal{D}$  starts from 1,

$$\mathcal{D} = 1 + \dots$$

It is to be noted that  $\{A\}$  and  $\{B\}$  in  $\langle S \rangle$ , which we write  $\{A, B\}_S$ , are not necessarily involved in one connected part of  $\langle S \rangle$ . This is also the case for  $\{A, B\}_{S^\dagger}$ . We assume that, in  $W \equiv \langle S^\dagger \rangle \langle S \rangle$ ,  $\{A, B\}_S$ , and  $\{A, B\}_{S^\dagger}$  are involved in one connected part  $W_c (\in W)$ . Then,  $W$  consists, in general, of  $W_c$  and other parts which are disconnected with  $W_c$  and include only  $\phi$ 's. The generalization to other cases is straightforward [4]. The form of  $\rho$  in Eqs. (2.2) should be remarked on. Let us recall the following two facts. On the one hand, the statistical ensemble is defined by the density matrix at the very initial time  $t_i (\sim -\infty)$ . On the other hand, in constructing a

perturbative framework, an adiabatic switching off of the interaction is required [7,3]. Then,  $\rho$  in Eqs. (2.2) is a functional of the in-field  $\phi_{in}(t_i, \mathbf{x})$  that constitutes the basis of perturbation theory.

As will be seen below, diagrammatic analysis shows that  $\mathcal{N}$ , Eq. (2.2b), takes the form

$$\mathcal{N} = \mathcal{N}_{\text{con}} \mathcal{D}, \quad (2.3)$$

where  $\mathcal{N}_{\text{con}}$  corresponds to a connected diagram and  $\mathcal{D}$  is as in Eq. (2.2c). Then, we have

$$\mathcal{P} = \mathcal{N}_{\text{con}}.$$

The  $S$ -matrix element in vacuum theory is obtained through an application of the reduction formula [2,4]:

$$\begin{aligned} \langle \{B\}; \{n'_k\} | S | \{n_k\}; \{A\} \rangle &= \prod_{j=1}^l (iK_{j, \Phi_j}) \prod_{m=1}^{l'} (iK_{m, \Phi_m}^*) \langle 0 | \prod_{\mathbf{k}} T \left[ \left\{ \sum_{i_{\mathbf{k}}=0}^{n_{\mathbf{k}}} \sum_{i'_{\mathbf{k}}=0}^{n'_{\mathbf{k}}} \delta(n_{\mathbf{k}} - i_{\mathbf{k}}; n'_{\mathbf{k}} - i'_{\mathbf{k}}) N_{i_{\mathbf{k}} i'_{\mathbf{k}}}^{n_{\mathbf{k}} n'_{\mathbf{k}}} \right. \right. \\ &\quad \left. \left. \times \prod_{n'=1}^{i'_{\mathbf{k}}} (iK_{\mathbf{k}, n'}^*) \prod_{n=1}^{i_{\mathbf{k}}} (iK_{\mathbf{k}, n}) \prod_{n'=1}^{i'_{\mathbf{k}}} \phi_{n'} \prod_{n=1}^{i_{\mathbf{k}}} \phi_n \right\} \prod_{j=1}^l \Phi_j \prod_{m=1}^{l'} \Phi_m \right] | 0 \rangle, \end{aligned} \quad (2.4)$$

where  $T$  is the time-ordering symbol and

$$N_{i_{\mathbf{k}} i'_{\mathbf{k}}}^{n_{\mathbf{k}} n'_{\mathbf{k}}} \equiv \left\{ \binom{n'_{\mathbf{k}}}{i'_{\mathbf{k}}} \binom{n_{\mathbf{k}}}{i_{\mathbf{k}}} \frac{1}{i'_{\mathbf{k}}! i_{\mathbf{k}}!} \right\}^{1/2}. \quad (2.5)$$

In Eq. (2.4),  $\delta(\dots; \dots)$  denotes the Kronecker's  $\delta$  symbol and

$$K_{\mathbf{k}, n} \dots \phi_n \equiv \frac{1}{\sqrt{Z_\phi}} \int d^4x f_{\mathbf{p}_{\mathbf{k}}}(x) (\square + m^2) \dots \phi(x),$$

$$K_{j, \Phi_j} \dots \Phi_j \equiv \frac{1}{\sqrt{Z_\Phi}} \int d^4x F_j(x) (\square + M^2) \dots \Phi(x),$$

$$K_{m, \Phi_m}^* \dots \Phi_m \equiv \frac{1}{\sqrt{Z_\Phi}} \int d^4x G_m^*(x) (\square + M^2) \dots \Phi(x). \quad (2.6)$$

Here

$$f_{\mathbf{p}_{\mathbf{k}}}(x) = \frac{1}{\sqrt{2E_{\mathbf{k}}V}} e^{-iP_{\mathbf{k}} \cdot x}, \quad (E_{\mathbf{k}} = \sqrt{p_{\mathbf{k}}^2 + m^2}),$$

with  $P_{\mathbf{k}}^\mu \equiv (E_{\mathbf{k}}, \mathbf{p}_{\mathbf{k}})$  and  $F_j(x) [G_m^*(x)]$  the wave function of the  $j$ th  $\Phi$  ( $\in \{A\}$ ) [ $m$ th  $\Phi$  ( $\in \{B\}$ )].  $Z$ 's in Eq. (2.6) are the wave-function renormalization constants. It is to be noted that, in Eq. (2.4), among  $n_{\mathbf{k}}$  ( $n'_{\mathbf{k}}$ ) of  $\phi_{\mathbf{k}}$ 's in the initial (final) state,  $i_{\mathbf{k}}$  ( $i'_{\mathbf{k}}$ ) of  $\phi_{\mathbf{k}}$ 's are absorbed in (emitted from) the  $i_{\mathbf{k}}$  ( $i'_{\mathbf{k}}$ ) vertices in  $\langle S \rangle$ . The remaining  $n_{\mathbf{k}} - i_{\mathbf{k}}$  ( $= n'_{\mathbf{k}} - i'_{\mathbf{k}}$ ) of  $\phi_{\mathbf{k}}$ 's are merely spectators, which reflects only on the statistical factor in  $\mathcal{F}_i$  in Eq. (3.19) below.

$\langle S \rangle$  in  $\mathcal{D}$  in Eq. (2.2c) is given by a similar expression to Eq. (2.4), where factors related to the  $\Phi$  fields are deleted.

From the form for  $\langle S \rangle$ , Eq. (2.4), we see that the permutation of  $\phi_n$  ( $n=1, \dots, i_{\mathbf{k}}$ ) and the permutation of  $\phi_{n'}$  ( $n'=1, \dots, i'_{\mathbf{k}}$ ) give the same Feynman diagram (in vacuum theory), and then  $i_{\mathbf{k}}! i'_{\mathbf{k}}!$  same diagrams emerge. Taking this fact into account, we may write Eq. (2.4) in the form

$$\begin{aligned} \langle \{B\}; \{n'_k\} | S | \{n_k\}; \{A\} \rangle &= \left( \prod_{j=1}^l \int d^4x_j F_j(x_j) \right) \left( \prod_{m=1}^{l'} \int d^4y_m G_m^*(y_m) \right) \sum_{\{i_{\mathbf{k}}\}} \left[ \prod_{\mathbf{k}} N_{i_{\mathbf{k}} i'_{\mathbf{k}}}^{n_{\mathbf{k}} n'_{\mathbf{k}}} i_{\mathbf{k}}! i'_{\mathbf{k}}! \left( \prod_{j=1}^{i_{\mathbf{k}}} \int d^4\xi_{\mathbf{k}j} f_{\mathbf{p}_{\mathbf{k}}}(\xi_{\mathbf{k}j}) \right) \right. \\ &\quad \left. \times \left( \prod_{j=1}^{i'_{\mathbf{k}}} \int d^4\xi_{\mathbf{k}j} f_{\mathbf{p}_{\mathbf{k}}}^*(\xi_{\mathbf{k}j}) \right) \right] \mathcal{A}(\{y\}, \{\xi\}; \{\xi\}, \{x\}), \end{aligned} \quad (2.7)$$

where  $i'_k = n'_k - n_k + i_k$  and  $\mathcal{A}$  is the truncated Green function in configuration space (in vacuum theory), and, e.g.,  $\{y\}$  collectively denotes  $y_1, y_2, \dots, y_{l'}$ .

Among the Feynman diagrams for  $\mathcal{A}$  are some diagrams in which some  $\xi$ 's ( $\in \{\xi\}$ ) [ $\zeta$ 's ( $\in \{\zeta\}$ )] coincide with  $x$ 's ( $\in \{x\}$ ) and/or  $y$ 's ( $\in \{y\}$ ) and/or  $\zeta$ 's ( $\in \{\zeta\}$ ) [ $\xi$ 's ( $\in \{\xi\}$ )]. In such cases,  $\mathcal{A}$  is understood to include the corresponding  $\delta$  functions, e.g.,  $\delta^4(\xi_{kj} - x_i)$ .

The expression for  $\langle S^\dagger \rangle$ , the complex conjugate of  $\langle S \rangle$ , is obtained by taking the complex conjugate of Eq. (2.4) or Eq. (2.7), where we make the substitution [cf. Eqs. (2.2b) and (2.2c)],

$$n_k \rightarrow m_k, \quad n'_k \rightarrow m'_k (= n'_k), \quad i_k \rightarrow j_k, \quad i'_k \rightarrow j'_k.$$

This applies also to the expression for  $\langle S^\dagger \rangle$  in Eq. (2.2c).

Substitution of  $W = \langle S^\dagger \rangle \langle S \rangle$  into Eq. (2.2b) yields, with obvious notation,

$$\begin{aligned} \mathcal{N} = & \left( \prod_{j=1}^l \int d^4 x_j d^4 x'_j F_j(x_j) F_j^*(x'_j) \right) \\ & \times \left( \prod_{m=1}^{l'} \int d^4 y_m d^4 y'_m G_m^*(y_m) G_m(y'_m) \right) \\ & \times \sum_{\{\mathbf{k}\}} \sum_{\{i_k\}} \sum_{\{j_k\}} \sum_{\{i'_k\}} \sum_{\{j'_k\}} \left[ \prod_{\mathbf{k}} \left( \prod_{j=1}^{i_k} \int d^4 \xi_{kj} f_{\mathbf{p}_k}(\xi_{kj}) \right) \right. \\ & \times \left( \prod_{j=1}^{i'_k} \int d^4 \zeta_{kj} f_{\mathbf{p}_k}^*(\zeta_{kj}) \right) \left( \prod_{j=1}^{j_k} \int d^4 \xi'_{kj} f_{\mathbf{p}_k}^*(\xi'_{kj}) \right) \\ & \times \left. \left( \prod_{j=1}^{j'_k} \int d^4 \zeta'_{kj} f_{\mathbf{p}_k}(\zeta'_{kj}) \right) \right] \\ & \times \mathcal{SW}(\{x'\}, \{\xi'\}; \{\zeta'\}, \{y'\}; \{y\}, \{\xi\}; \{\zeta\}, \{x\}) \mathbf{S}. \quad (2.8) \end{aligned}$$

Here  $i'_k = n'_k - n_k + i_k$ ,  $j'_k = n'_k - m_k + j_k$ ,  $\mathcal{W} = \mathcal{A}^* \mathcal{A}$ , and

$$\mathcal{S} \equiv \sum_{\{n_k\}} \left( \prod_{\mathbf{k}} N^{m_k n'_k} N^{n_k n'_k} i_k! i'_k! j_k! j'_k! \right) \langle \{n_k\} | \rho | \{m_k\} \rangle. \quad (2.9)$$

### B. Statistical factor $\mathcal{S}$

Here, it is convenient to introduce creation and annihilation operators  $a_{\mathbf{p}_k}^\dagger$  and  $a_{\mathbf{p}_k}$ , which satisfy  $[a_{\mathbf{p}_k}, a_{\mathbf{p}_k'}^\dagger] = \delta_{\mathbf{k}, \mathbf{k}'}$ , and  $[a_{\mathbf{p}_k}, a_{\mathbf{p}_k'}] = 0$ . A Fock space  $\mathcal{F}$  is constructed on  $|0\rangle$ , which is defined by  $a_{\mathbf{p}_k}|0\rangle = 0$ . For the vector  $|\rangle$  ( $\in \mathcal{F}$ ) that satisfies  $a_{\mathbf{p}_k}^\dagger a_{\mathbf{p}_k} |\rangle = n_{\mathbf{p}_k} |\rangle$  ( $n_{\mathbf{p}_k} = 0, 1, 2, \dots$ ), we use the same notation as in Eq. (2.9),  $|\{n_k\}\rangle$ , since no confusion arises. A key observation here is that, using the form (2.5), one can easily show that  $\mathcal{S}$ , Eq. (2.9), may be represented as

$$\begin{aligned} \mathcal{S} = & \sum_{\{n_k\}} \langle \{m_k\} | \left( \prod_{l=1}^j a_{\mathbf{p}_l}^\dagger \right) \left( \prod_{l=1}^{j'} a_{\mathbf{q}_l} \right) \left( \prod_{l=1}^{i'} a_{\mathbf{q}_l}^\dagger \right) \\ & \times \left( \prod_{l=1}^i a_{\mathbf{p}_l} \right) | \{n_k\} \rangle \langle \{n_k\} | \rho | \{m_k\} \rangle \\ = & \left\langle \left( \prod_{l=1}^j a_{\mathbf{p}_l}^\dagger \right) \left( \prod_{l=1}^{j'} a_{\mathbf{q}_l} \right) \left( \prod_{l=1}^{i'} a_{\mathbf{q}_l}^\dagger \right) \left( \prod_{l=1}^i a_{\mathbf{p}_l} \right) \right\rangle, \quad (2.10) \end{aligned}$$

where we write

$$\{\mathbf{p}_1, \dots, \mathbf{p}_i\} = \{\dots, \underbrace{\mathbf{p}_k, \dots, \mathbf{p}_k}_{i_k}, \dots\},$$

and then  $i = \sum_{\mathbf{k}} i_k$ . Similarly,  $i' = \sum_{\mathbf{k}} i'_k$ ,  $j = \sum_{\mathbf{k}} j_k$ , and  $j' = \sum_{\mathbf{k}} j'_k$ . Note that  $\langle \{n_k\} |$  and  $| \{m_k\} \rangle$ , in between which  $\rho$  is sandwiched, are as in Eqs. (2.2) and (2.9).

Let us write  $\mathcal{S}$ , for short, as  $\mathcal{S} = \langle b_1 b_2 \dots b_N \rangle$  ( $N = i + j + i' + j'$ ). Let  $l_1, \dots, l_m$  be a solution in positive integers of

$$\sum_{j=1}^m l_j = N \quad (1 \leq m \leq N). \quad (2.11)$$

Pick out  $l_1$   $b$ 's out of  $b_1, b_2, \dots, b_N$  and pick out  $l_2$   $b$ 's out of remaining  $b$ 's, and so on, to make  $m$  groups,

$$\{b_1 \dots b_{l_1}\} \{b_{l_1+1} \dots b_{l_1+l_2}\} \dots \{b_{l_{m-1}+1} \dots b_N\}, \quad (2.12)$$

where  $1 < l_{l_1+1} < l_{l_1+l_2+1} < \dots < l_{l_{m-1}+1} \leq N$ . In Eq. (2.12), let  $b_l$  and  $b_{l'}$  be in between one set of curly brackets. Then, if  $l < l'$ ,  $b_l$  is located at the left of  $b_{l'}$  and vice versa. We are now in a position to write

$$\begin{aligned} \mathcal{S}(b_1 \dots b_N) = & \sum_{m=1}^N \sum_{l'_s} \sum_{\text{gr}} \mathcal{S}_c(b_1 \dots b_{l'_1}) \\ & \times \mathcal{S}_c(b_{l'_1+1} \dots b_{l'_1+l'_2}) \dots \mathcal{S}_c(b_{l_{N-l'_m+1}} \dots b_N). \quad (2.13) \end{aligned}$$

Here, the second summation  $\sum_{l'_s}$  runs over all solutions in integers of Eq. (2.11) and the third summation  $\sum_{\text{gr}}$  runs over all ways of making  $m$  groups as in Eq. (2.12). From Eq. (2.13),  $\mathcal{S}_c$  is determined iteratively. For example,

$$\begin{aligned} \mathcal{S}_c(b_1 b_2) &= \mathcal{S}(b_1 b_2) - \mathcal{S}(b_1) \mathcal{S}(b_2), \\ \mathcal{S}_c(b_1 b_2 b_3) &= \mathcal{S}(b_1 b_2 b_3) - \mathcal{S}_c(b_1 b_2) \mathcal{S}(b_3) \\ &\quad - \mathcal{S}_c(b_1 b_3) \mathcal{S}(b_2) - \mathcal{S}(b_1) \mathcal{S}_c(b_2 b_3) \\ &\quad - \mathcal{S}(b_1) \mathcal{S}(b_2) \mathcal{S}(b_3). \end{aligned}$$

Thus, we have, with obvious notation,

$$\mathcal{S} = \sum_{m=1}^{i+j+i'+j'} \sum_{l's} \sum_{gr} \mathcal{S}_c(\cdots) \mathcal{S}_c(\cdots) \cdots \mathcal{S}_c(\cdots). \quad (2.14)$$

In the case of the equilibrium system, all but  $\langle a_{\mathbf{p}} a_{\mathbf{q}}^\dagger \rangle$  and  $\langle a_{\mathbf{q}}^\dagger a_{\mathbf{p}} \rangle$  vanish. From the definition of  $\mathcal{S}_c$ , it is not difficult to show that, for  $N \geq 3$ ,

$$\mathcal{S}_c(b_{j_1} b_{j_2} \cdots b_{j_l}) = \mathcal{S}_c(:b_{j_1} b_{j_2} \cdots b_{j_l}:), \quad (2.15)$$

where “ $: \cdots :$ ” indicates to take the normal ordering with respect to the creation and annihilation operators.

### C. Reaction-probability formula

Now,  $\mathcal{N}$  in Eq. (2.8) may be written as

$$\begin{aligned} \mathcal{N} = & \left( \prod_{j=1}^l \int d^4 x_j d^4 x'_j F_j(x_j) F_j^*(x'_j) \right) \left( \prod_{j=1}^{l'} \int d^4 y_j d^4 y'_j G_j^*(y_j) G_j(y'_j) \right) \\ & \times \sum_{i,j,i',j'} \left( \prod_{j=1}^i \int d^4 \xi_j \sum_{\mathbf{p}_j} \frac{1}{\sqrt{2E_{p_j} V}} e^{-iP_j \cdot \xi_j} \right) \left( \prod_{j=1}^{i'} \int d^4 \zeta_j \sum_{\mathbf{q}_j} \frac{1}{\sqrt{2E_{q_j} V}} e^{iQ_j \cdot \zeta_j} \right) \left( \prod_{l=1}^j \int d^4 \xi'_l \sum_{\mathbf{p}'_l} \frac{1}{\sqrt{2E_{p'_l} V}} e^{iP'_l \cdot \xi'_l} \right) \\ & \times \left( \prod_{l=1}^{j'} \int d^4 \zeta'_l \sum_{\mathbf{q}'_l} \frac{1}{\sqrt{2E_{q'_l} V}} e^{-iQ'_l \cdot \zeta'_l} \right) \mathcal{SW}(\{x'\}, \{\xi'\}; \{\zeta'\}, \{y'\}; \{y\}, \{\zeta\}; \{\xi\}, \{x\}) \mathbf{S}. \end{aligned} \quad (2.16)$$

Carrying out the integration over  $\xi$ 's,  $\zeta$ 's,  $\xi'$ 's,  $\zeta'$ 's and the internal spacetime vertex points, which are included in  $\mathcal{W}$ , we obtain, with obvious notation,

$$\begin{aligned} \mathcal{N} = & \left( \prod_{j=1}^l \int d^4 x_j d^4 x'_j F_j(x_j) F_j^*(x'_j) \right) \left( \prod_{j=1}^{l'} \int d^4 y_j d^4 y'_j G_j^*(y_j) G_j(y'_j) \right) \sum_{i,j,i',j'} \left( \prod_{j=1}^i \sum_{\mathbf{p}_j} \frac{1}{\sqrt{2E_{p_j} V}} \right) \left( \prod_{j=1}^{i'} \sum_{\mathbf{q}_j} \frac{1}{\sqrt{2E_{q_j} V}} \right) \\ & \times \left( \prod_{l=1}^j \sum_{\mathbf{p}'_l} \frac{1}{\sqrt{2E_{p'_l} V}} \right) \left( \prod_{l=1}^{j'} \sum_{\mathbf{q}'_l} \frac{1}{\sqrt{2E_{q'_l} V}} \right) \mathcal{SW}(\{x'\}, \{\mathbf{p}'\}; \{\mathbf{q}'\}, \{y'\}; \{y\}, \{\mathbf{q}\}; \{\mathbf{p}\}, \{x\}) \mathbf{S}. \end{aligned} \quad (2.17)$$

Let us Fourier transform the wave functions  $F_j(x)$ ,  $G_j(x)$ :

$$\begin{aligned} F_j(x) &= \int d\mathbf{r}_j e^{-iR_j \cdot (x - X_c)} \tilde{F}_j(\mathbf{r}_j), \\ G_j(x) &= \int d\mathbf{r}_j e^{-iR_j \cdot (x - X_c)} \tilde{G}_j(\mathbf{r}_j), \end{aligned} \quad (2.18)$$

where  $R_j^\mu = (E_j, \mathbf{r}_j)$  with  $E_j = \sqrt{\mathbf{r}_j^2 + M^2}$ . In Eq. (2.18),  $\mathbf{X}_c$  of  $X_c^\mu = (X_{c0}, \mathbf{X}_c)$  is the space point, around which  $\Phi$ 's are localized, and  $X_{c0}$  is the time, around which the reaction takes place. In general,  $\tilde{F}_j$  and  $\tilde{G}_j$  also depend on  $X_c$ .

Substituting Eq. (2.18) into Eq. (2.17) and carrying out the integration over  $x_j$ ,  $x'_j$ ,  $y_j$ , and  $y'_j$ , we obtain

$$\begin{aligned} \mathcal{N} = & \left( \prod_{j=1}^l \int d\mathbf{r}_j d\mathbf{r}'_j \tilde{F}_j(\mathbf{r}_j) \tilde{F}_j^*(\mathbf{r}'_j) \right) \left( \prod_{j=1}^{l'} \int d\mathbf{s}_j d\mathbf{s}'_j \tilde{G}_j^*(\mathbf{s}_j) \tilde{G}_j(\mathbf{s}'_j) \right) \sum_{i,j,i',j'} \left( \prod_{j=1}^i \sum_{\mathbf{p}_j} \frac{1}{\sqrt{2E_{p_j} V}} \right) \left( \prod_{j=1}^{i'} \sum_{\mathbf{q}_j} \frac{1}{\sqrt{2E_{q_j} V}} \right) \\ & \times \left( \prod_{l=1}^j \sum_{\mathbf{p}'_l} \frac{1}{\sqrt{2E_{p'_l} V}} \right) \left( \prod_{l=1}^{j'} \sum_{\mathbf{q}'_l} \frac{1}{\sqrt{2E_{q'_l} V}} \right) 2\pi \delta \left( \sum_{j=0}^l r_{j0} - \sum_{j=0}^{l'} s_{j0} + \sum_{j=0}^i p_{j0} - \sum_{j=0}^{i'} q_{j0} \right) \\ & \times 2\pi \delta \left( \sum_{j=0}^l s'_{j0} - \sum_{j=0}^l r'_{j0} + \sum_{j=0}^{j'} q'_{j0} - \sum_{j=0}^j p'_{j0} \right) V \delta \left( \sum_{j=0}^l \mathbf{r}_j - \sum_{j=0}^{l'} \mathbf{s}_j; \sum_{j=0}^i \mathbf{q}_j - \sum_{j=0}^i \mathbf{p}_j \right) \\ & \times V \delta \left( \sum_{j=0}^{l'} \mathbf{s}'_j - \sum_{j=0}^l \mathbf{r}'_j; \sum_{j=0}^j \mathbf{p}'_j - \sum_{j=0}^{j'} \mathbf{q}'_j \right) \mathcal{SW}(\{\mathbf{r}'\}, \{\mathbf{p}'\}; \{\mathbf{q}'\}, \{\mathbf{s}'\}; \{\mathbf{s}\}, \{\mathbf{q}\}; \{\mathbf{p}\}, \{\mathbf{r}\}) \\ & \times \exp \left[ i \left( \sum_{j=0}^l (R_j - R'_j) - \sum_{j=0}^{l'} (S_j - S'_j) \right) \cdot X_c \right] \mathbf{S}. \end{aligned} \quad (2.19)$$

Note that, when  $\langle S \rangle$  ( $\in W$ ) or  $\langle S^\dagger \rangle$  consists of several disconnected parts, the corresponding (momentum-conservation)  $\delta$  function above becomes product of several  $\delta$  functions.

The form for  $\mathcal{D}$ , Eq. (2.2c), is given by Eq. (2.16) or Eq. (2.19), in which factors related to the  $\Phi$  fields are deleted.

In general,  $\mathcal{N}$  consists of several graphically disconnected parts. As assumed in Sec. II A, all  $\Phi$ 's are included in one connected part  $\mathcal{N}_{\text{con}}$ . Other parts, which we write as  $\mathcal{D}$ , include only the constituent particles  $\phi'$  of the system. Then, it is obvious that  $\mathcal{N}$  takes the form  $\mathcal{N} = \mathcal{N}_{\text{con}} \mathcal{D}$  [cf. Eq. (2.3)]. It is also obvious that  $\mathcal{D}$  is a contribution to  $\mathcal{D}$  in Eqs. (2.2). Then, such a contribution does contribute to the reaction probability  $\mathcal{P}$ , Eq. (2.2a), as  $\mathcal{N}_{\text{con}}$ , which has already been dealt with in a lower-order level. Thus, computation of  $\mathcal{N}$ 's, which consist of one connected part, is sufficient.

### III. OUT-OF-EQUILIBRIUM REACTION-PROBABILITY FORMULA

#### A. Preliminaries

In this section, we restrict our concern to quasiuniform systems near equilibrium and nonequilibrium quasistationary systems, which we simply refer to as out-of-equilibrium systems. Such systems are characterized [5] by a weak dependence of the reaction probabilities on  $X_c$  [cf. above after Eq. (2.18)]. More precisely, there exists a spacetime scale  $L^\mu$ , such that the reaction probabilities do not appreciably depend on  $X_c$ , when  $X_c$  is in the spacetime region  $|X_c^\mu - X_{c0}^\mu| (=|\Delta X_c^\mu|) \leq L^\mu$  with  $X_{c0}^\mu$  an arbitrary spacetime point. For such systems, the reactions are regarded as taking place in the region  $|X_c^\mu - X_{c0}^\mu| \leq L^\mu$ . Going to momentum space, this means that the contribution (to the reaction probability  $\mathcal{N}$ ) from the state that includes a “very soft” momentum  $|P^\mu| \leq 1/L^\mu$  should be small. More precisely, the contribution from the summation region in Eq. (2.16), in which at least one momentum (out of  $\{\mathbf{p}_j, \mathbf{q}_j, \mathbf{p}'_j, \mathbf{q}'_j\}$ ) is “very soft,” is negligibly small.<sup>2</sup>

<sup>2</sup>This is the case for most practical cases, which can be seen as follows. Let  $\mathcal{T}$  be a typical scale(s) of the system under consideration. In the case of thermal-equilibrium system,  $\mathcal{T}$  is the temperature of the system. As a result of interactions, an effective mass is induced and the vacuum-theory mass  $m$  turns out to the effective mass  $M_{\text{eff}}(X_c)$ . In the case of  $m \gg \sqrt{\lambda} \mathcal{T}$ ,  $M_{\text{eff}}(X_c)$  is not much different from  $m$  and, for  $m \lesssim \sqrt{\lambda} \mathcal{T}$ , a tadpole diagram induces mass of  $O(\sqrt{\lambda} \mathcal{T})$ , so that  $M_{\text{eff}}(X_c) = O(\sqrt{\lambda} \mathcal{T})$ . [ $\sqrt{\lambda} \mathcal{T}$  (or even  $\lambda \mathcal{T}$ ) is the scale that characterizes reactions. We assume that this scale is much larger than the “very soft” momentum scale,  $1/L^\mu \ll \sqrt{\lambda} \mathcal{T}$  (or  $\lambda \mathcal{T}$ ).] Most amplitudes, when computed in perturbation theory (to be deduced below), are insensitive to the region  $|P^\mu| \leq O(\sqrt{\lambda} \mathcal{T})$ . Then, the contribution from the region  $|P^\mu| \leq 1/L^\mu$  is small, since the phase-space volume is small. Incidentally, in the case of equilibrium thermal QED or QCD ( $m=0$ ), there are some quantities that diverge at infrared limits to leading order in hard-thermal-loop resummation schemes [8,9]. For such cases, a more elaborate analysis is required.

Let us pick out  $\langle a_{\mathbf{p}} \rangle$  from  $\mathcal{S}$  in Eq. (2.14), which appears in  $\mathcal{N}$ , Eq. (2.16), in the form

$$\sum_{\mathbf{p}} \frac{1}{\sqrt{2E_p V}} \langle a_{\mathbf{p}} \rangle e^{-iP \cdot \omega}, \quad (3.1)$$

where  $\omega$  stands for  $\xi_j$  or  $\zeta'_j$ . The above observation shows that the quantity (3.1) does not appreciably depend on  $\omega^\mu$ , when  $|\omega^\mu - X_{c0}^\mu| \leq L^\mu$ . This means that  $\langle a_{\mathbf{p}} \rangle \approx 0$  for  $|p^i| \gtrsim 1/L^i$  and  $p^0 = E_p \gtrsim 1/L^0$ . Then, the argument at the end of the above paragraph shows that the contribution to  $\mathcal{N}$  that includes  $\langle a \rangle$  can be ignored. The same reasoning shows that the contribution including  $\langle a^\dagger \rangle$  and/or  $\mathcal{S}_c(aa \cdots a)$  and/or  $\mathcal{S}_c(a^\dagger a^\dagger \cdots a^\dagger)$  may also be ignored.

Recalling the identity (2.15), we pick out from Eq. (2.14) one  $\mathcal{S}_c(a_{\mathbf{p}_1}^\dagger \cdots a_{\mathbf{p}_j}^\dagger a_{\mathbf{p}_{j+1}} \cdots a_{\mathbf{p}_n})$  ( $n \geq 3$ ). In  $\mathcal{N}$  in Eq. (2.16), this factor appears in the form

$$\sum_{\{\mathbf{p}\}} \left( \prod_{l=1}^n \frac{1}{\sqrt{2E_{p_l} V}} \right) \mathcal{S}_c \left( \left( \prod_{l=1}^j a_{\mathbf{p}_l}^\dagger \right) \left( \prod_{l'=j+1}^n a_{\mathbf{p}_{l'}} \right) \right) \times \exp \left[ i \left( \sum_{l=1}^j P_l \cdot z_l - \sum_{l'=j+1}^n P_{l'} \cdot z_{l'} \right) \right], \quad (3.2)$$

where  $p_{l0} = E_p$  ( $l=1, \dots, n$ ). It is not difficult to show that among the contributions to  $\mathcal{N}$ , there are contributions whose counterparts of Eq. (3.2), together with Eq. (3.2), can be united into the form

$$\mathcal{C}(\{z\}) \equiv i^{n-1} \mathcal{S}_c(\cdot: \phi(z_1) \cdots \phi(z_n): \cdot). \quad (3.3)$$

Here

$$\phi(z) = \sum_{\mathbf{p}} \frac{1}{\sqrt{2E_p V}} [a_{\mathbf{p}} e^{-iP \cdot z} + a_{\mathbf{p}}^\dagger e^{iP \cdot z}],$$

where  $p_0 = E_p$  and “ $\cdot: \cdots :$ ” in Eq. (3.3) indicates to take the normal ordering. As discussed at the beginning of this subsection, for the system under consideration, the function (3.2) does not change appreciably in the region  $|\Delta Z^\mu| \leq L^\mu$  ( $Z = \sum_{l=1}^n z_l/n$ ). This leads to an approximate momentum conservation for the function (3.2):

$$\left| \sum_{l=1}^j P_l^\mu - \sum_{l'=j+1}^n P_{l'}^\mu \right| \leq 1/L^\mu. \quad (3.4)$$

This is also the case for  $\mathcal{C}(\{z\})$  in Eq. (3.3). The conditions under which the initial correlations may be ignored are discussed in [10]. In the following, we ignore the initial correlations, the inclusion of which into the formula obtained below is straightforward.

After all this, in  $\mathcal{S}$  in Eq. (2.16), we keep only  $\langle a^\dagger a \rangle$ 's:



$$\begin{aligned}
\mathcal{S} = & \sum_{m,n} \sum_{g,r} \langle a_{\mathbf{p}'_j}^\dagger a_{\mathbf{q}'_j} \rangle \cdots \langle a_{\mathbf{p}'_{n+1}}^\dagger a_{\mathbf{q}'_{n+1}} \rangle (\delta_{\mathbf{q}_{k_i}, \mathbf{q}'_{k_m}} \\
& + \langle a_{\mathbf{q}_{k_i}}^\dagger a_{\mathbf{q}'_{k_m}} \rangle) \cdots (\delta_{\mathbf{q}_{k_{i-n+1}}, \mathbf{q}'_{k_1}} + \langle a_{\mathbf{q}_{k_{i-n+1}}}^\dagger a_{\mathbf{q}'_{k_1}} \rangle) \\
& \times \langle a_{\mathbf{q}_{j_{i-n}}}^\dagger a_{\mathbf{p}_{l_i}} \rangle \cdots \langle a_{\mathbf{q}_{j_1}}^\dagger a_{\mathbf{p}_{l_{n+1}}} \rangle \langle a_{\mathbf{p}'_{l'_n}}^\dagger a_{\mathbf{p}_{l_n}} \rangle \cdots \langle a_{\mathbf{p}'_{l'_1}}^\dagger a_{\mathbf{p}_{l_1}} \rangle,
\end{aligned} \quad (3.5)$$

where  $j-n=j'-m$  and  $i'-m=i-n$ , which leads to  $i+j'=j+i'$ .

Referring to Eq. (2.16), we use the following set of symbols throughout in the sequel:

$$\begin{aligned}
\mathcal{V}_\Phi &= \mathcal{V}_\Phi^S \cup \mathcal{V}_\Phi^{S^\dagger}, \quad \mathcal{V}_\Phi^S = \{x\} \cup \{y\}, \quad \mathcal{V}_\Phi^{S^\dagger} = \{x'\} \cup \{y'\}, \\
\mathcal{V}_e &= \mathcal{V}_e^S \cup \mathcal{V}_e^{S^\dagger}, \quad \mathcal{V}_e^S = \{\xi\} \cup \{\zeta\}, \quad \mathcal{V}_e^{S^\dagger} = \{\xi'\} \cup \{\zeta'\},
\end{aligned}$$

and  $\mathcal{V}_i = \mathcal{V}_i^S \cup \mathcal{V}_i^{S^\dagger}$  with  $\mathcal{V}_i^S$  [ $\mathcal{V}_i^{S^\dagger}$ ] the set of internal-vertex points in  $\langle S \rangle$  [ $\langle S^\dagger \rangle$ ] ( $\in \mathcal{W}$ ). When the vertex point  $\xi_j$  ( $\xi'_j$ ) or  $\zeta_l$  ( $\zeta'_l$ ) coincides with one of the vertex points in  $\mathcal{V}_\Phi^S$  ( $\mathcal{V}_\Phi^{S^\dagger}$ ), we include it in  $\mathcal{V}_\Phi^S$  ( $\mathcal{V}_\Phi^{S^\dagger}$ ). At the final stage,  $\mathcal{V}_\Phi$  ( $\mathcal{V}_e \cup \mathcal{V}_i$ ) turns out to be the set of external-vertex (internal-vertex) points of the out-of-equilibrium amplitude (3.19) representing  $\mathcal{P}$ .

### B. Two-point function

From Eq. (2.16) with Eq. (3.5), we pick out

$$i\tilde{\Delta}(\rho, \sigma) \equiv \sum_{\mathbf{p}, \mathbf{p}'} \frac{1}{\sqrt{2E_p V} \sqrt{2E_{p'} V}} \langle a_{\mathbf{p}}^\dagger a_{\mathbf{p}'} \rangle e^{-i(P \cdot \rho - P' \cdot \sigma)},$$

where  $p_0 = E_p$ ,  $p'_0 = E_{p'}$ , and  $\mathbf{p} \in \{\mathbf{p}\} \cup \{\mathbf{q}\}$ ,  $\mathbf{p}' \in \{\mathbf{p}'\} \cup \{\mathbf{q}'\}$ ,  $\rho \in \{\xi\} \cup \{\xi'\}$ , and  $\sigma \in \{\zeta\} \cup \{\zeta'\}$ . Changing  $\mathbf{p}$  and  $\mathbf{p}'$  to

$$\mathbf{p}_+ = (\mathbf{p} + \mathbf{p}')/2, \quad \mathbf{p}_- = \mathbf{p} - \mathbf{p}',$$

we get

$$i\tilde{\Delta}(\rho, \sigma) = \sum_{\mathbf{p}_+} \frac{1}{\sqrt{2E_+ V} \sqrt{2E_- V}} e^{-iP_+ \cdot (\rho - \sigma)} \tilde{N}(X, \mathbf{p}_+), \quad (3.6)$$

$$\tilde{N}(X; \mathbf{p}_+) = \sum_{\mathbf{p}_-} e^{-i(E_+ - E_-)X_0} e^{i\mathbf{p}_- \cdot \mathbf{X}} \langle a_{\mathbf{p}_+ - \mathbf{p}_- / 2}^\dagger a_{\mathbf{p}_+ + \mathbf{p}_- / 2} \rangle, \quad (3.7)$$

where  $X = (\rho + \sigma)/2$ ,  $E_\pm = E_{|\mathbf{p}_+ \mp i\nabla_{\mathbf{X}}/2|}$ , and  $p_+^0 = (E_+ + E_-)/2$ . It is worth mentioning in passing that one can easily derive, from Eq. (3.7),  $P \cdot \partial_X \tilde{N} = 0$ .

Now, Eq. (3.6) may be written as

$$\begin{aligned}
i\tilde{\Delta}(\rho, \sigma) = & \int \mathcal{D}^4 P e^{-iP \cdot (\rho - \sigma)} \frac{p_0}{\sqrt{E_+ E_-}} 2\pi \theta(p_0) \\
& \times \delta\left(p_0^2 - \left(\frac{E_+ + E_-}{2}\right)^2\right) \tilde{N}(X; \mathbf{p}),
\end{aligned} \quad (3.8)$$

where

$$\int \mathcal{D}^4 P \equiv \int \frac{dp_0}{2\pi} \sum_{\mathbf{p}} \frac{1}{V}.$$

As usual, we rewrite  $p = |\mathbf{p}|$  in terms of  $p_0$  by using  $\delta_+(p_0^2 - \dots)$  in Eq. (3.8). In doing so we obtain

$$\frac{p_0}{\sqrt{E_+ E_-}} \tilde{N}(X; \mathbf{p}) \rightarrow \left[ 1 + \frac{(\mathbf{p} \cdot \nabla_{\mathbf{X}})^2}{4p_0^4} \right]^{-1/2} \tilde{N}(X; p_0, \hat{\mathbf{p}}),$$

where  $\hat{\mathbf{p}} \equiv \mathbf{p}/p$ . Carrying out the derivative expansion (expansion with respect to  $\partial_{X_\mu}$ ) and keeping up to the second-order  $\mathbf{X}$ -derivative terms, we obtain

$$i\tilde{\Delta}(\rho, \sigma) = \int \mathcal{D}^4 P e^{-iP \cdot (\rho - \sigma)} 2\pi \delta_+(P^2 - m^2) N(X; p_0, \hat{\mathbf{p}}), \quad (3.9)$$

where  $\delta_+(P^2 - m^2) = \theta(p_0) \delta(P^2 - m^2)$  and

$$\begin{aligned}
N(X; p_0, \hat{\mathbf{p}}) = & \left[ 1 - \frac{1}{4} \frac{\tilde{\partial}}{\partial m^2} (\nabla_{\mathbf{X}}^2 - (\mathbf{v} \cdot \nabla_{\mathbf{X}})^2) - \frac{(\mathbf{v} \cdot \nabla_{\mathbf{X}})^2}{8p_0^2} \right. \\
& \left. + \dots \right] \tilde{N}(X; p_0, \hat{\mathbf{p}}).
\end{aligned} \quad (3.10)$$

Here  $\tilde{\partial}/\partial m^2$  acts on  $\delta_+(P^2 - m^2)$  in Eq. (3.9) and  $\mathbf{v} = \mathbf{p}/p_0$ .

In the case of a system in which translation invariance holds,  $\langle a_{\mathbf{p}}^\dagger a_{\mathbf{q}} \rangle_c \propto \delta_{\mathbf{p}, \mathbf{q}}$ , Eq. (3.7) tells us that  $N(p_0, \hat{\mathbf{p}}) = \tilde{N}(p_0, \hat{\mathbf{p}})$  is the number density of a particle with momentum  $\mathbf{p}$ . This allows us to interpret  $N(X; p_0, \hat{\mathbf{p}})$  as the ‘bare’ number density of a quasiparticle with  $\mathbf{p}$  at the spacetime point  $X^\mu$ . (For more details, see [10].)

### C. Construction of out-of-equilibrium propagators

So far, for simplicity of presentation, we have dealt with real-scalar-field systems. The physical meaning of the propagators to be deduced below can be determined in a more transparent manner by employing a complex-scalar-field system, which we deal with in the sequel of this section. Let  $a_{\mathbf{p}}$  ( $a_{\mathbf{p}}^\dagger$ ) be an annihilation (creation) operator for a particle of momentum  $\mathbf{p}$ . The antiparticle counterpart of  $a_{\mathbf{p}}$  ( $a_{\mathbf{p}}^\dagger$ ) is  $b_{\mathbf{p}}$  ( $b_{\mathbf{p}}^\dagger$ ). For simplicity, we assume that the density-matrix operator  $\rho$  commutes with charge operator  $Q$ ,  $[\rho, Q] = 0$ . Then, all but  $\langle a_{\mathbf{p}}^\dagger a_{\mathbf{q}} \rangle$ ,  $\langle b_{\mathbf{p}}^\dagger b_{\mathbf{q}} \rangle$ ,  $\langle a_{\mathbf{p}}^\dagger b_{\mathbf{q}}^\dagger \rangle$ ,  $\langle a_{\mathbf{p}} b_{\mathbf{q}} \rangle$  vanish. The same reasoning as at the beginning of this section shows that  $\langle a_{\mathbf{p}}^\dagger b_{\mathbf{q}}^\dagger \rangle$  and  $\langle a_{\mathbf{p}} b_{\mathbf{q}} \rangle$  are negligibly small. Thus, we are left with  $\langle a_{\mathbf{p}}^\dagger a_{\mathbf{q}} \rangle$ ’s and  $\langle b_{\mathbf{p}}^\dagger b_{\mathbf{q}} \rangle$ ’s.

(A) Let us take a Feynman diagram  $\mathcal{F}$  for  $\mathcal{N}$  [cf. Eq. (2.16)], and pick out from  $\mathcal{F}$  a vacuum-theory propagator  $i\Delta^{(0)}(z_1 - z_2) = \langle 0 | T \phi(z_1) \phi^\dagger(z_2) | 0 \rangle \in \langle S \rangle (\in \mathcal{N})$ . Then, we pick up the following two diagrams for  $\mathcal{N}$ . The first one is the same as  $\mathcal{F}$ , except that  $i\Delta^{(0)}(z_1 - z_2)$  is replaced by

$$\sum_{\mathbf{p}} \frac{1}{\sqrt{2E_p V}} e^{-iP \cdot z_1} \sum_{\mathbf{q}} \frac{1}{\sqrt{2E_q V}} e^{iQ \cdot z_2} \langle a_{\mathbf{q}}^\dagger a_{\mathbf{p}} \rangle,$$

which is involved in Eq. (2.16). The second one is the same as  $\mathcal{F}$ , except that  $i\Delta^{(0)}(z_1 - z_2)$  is replaced by

$$\sum_{\mathbf{q}} \frac{1}{\sqrt{2E_q V}} e^{-i\bar{Q} \cdot z_2} \sum_{\mathbf{p}} \frac{1}{\sqrt{2E_p V}} e^{i\bar{P} \cdot z_1} \langle b_{-\mathbf{p}}^\dagger b_{-\mathbf{q}} \rangle,$$

with  $\bar{P} \equiv (E_p, -\mathbf{p})$ , etc. Adding the above two contributions to the original contribution, and Fourier transforming on  $z_1 - z_2$ , we obtain, for the relevant part,

$$i\Delta_{11}\left(\frac{z_1 + z_2}{2}; P\right) \equiv \frac{i}{P^2 - m^2 + i0^+} + 2\pi\delta(P^2 - m^2)N\left(\frac{z_1 + z_2}{2}; p_0, \hat{\mathbf{p}}\right). \quad (3.11)$$

Here,  $N$  with  $p_0 > 0$  is as in Eq. (3.10) with Eq. (3.7), while, for  $p_0 < 0$ ,  $N$  takes the same form (3.10) where  $\tilde{N}$  is defined, with obvious notation, as

$$\tilde{N}\left(\frac{z_1 + z_2}{2}; p_0, \hat{\mathbf{p}}\right) = \sum_{\mathbf{p}_-} e^{i(E_+ - E_-)(z_{10} + z_{20})/2} \times e^{-i\mathbf{p}_- \cdot (\mathbf{z}_1 + \mathbf{z}_2)/2} \langle b_{-\mathbf{p}_+ / 2}^\dagger b_{-\mathbf{p}_- / 2} \rangle,$$

with, as before,  $E_\pm = E_{|\mathbf{p}_\pm| + i\nabla_{\mathbf{x}}/2}$ .

As discussed at the end of the last subsection,  $N(X; p_0, \hat{\mathbf{p}})$  with  $p_0 > 0$  is the “bare” number density of a quasiparticle with momentum  $\mathbf{p}$  at the point  $X^\mu$ . Similarly,  $N(X; p_0, \hat{\mathbf{p}})$  with  $p_0 < 0$  is the “bare” number density of an antiquasiparticle with momentum  $-\mathbf{p}$  at  $X^\mu$ .

(B) Starting from  $\langle S^\dagger \rangle (\in \mathcal{N})$  that includes a vacuum-theory propagator  $[i\Delta^{(0)}(z_1 - z_2)]^*$  and proceeding as (A) above, we obtain

$$i\Delta_{22}(X; P) \equiv [i\Delta_{11}(X; P)]^* = \frac{-i}{P^2 - m^2 - i0^+} + 2\pi\delta(P^2 - m^2) \times N\left(\frac{z_1 + z_2}{2}; p_0, \hat{\mathbf{p}}\right). \quad (3.12)$$

(C) Let us take a set of Feynman diagrams  $\mathcal{F}_1$  and  $\mathcal{F}_2$ .  $\mathcal{F}_1$  contains [cf. Eq. (3.5)]

$$\sum_{\mathbf{p}_j} \frac{1}{\sqrt{2E_{p_j} V}} e^{-iP_j \cdot z_1} \sum_{\mathbf{q}_k} \frac{1}{\sqrt{2E_{q_k} V}} e^{iQ_k \cdot z_2} (\delta_{\mathbf{q}_k, \mathbf{p}_j} + \langle a_{\mathbf{q}_k}^\dagger a_{\mathbf{p}_j} \rangle), \quad (3.13)$$

with  $z_1 \in \{\xi'\}$  ( $\in \langle S^\dagger \rangle$ ) and  $z_2 \in \{\xi\}$  ( $\in \langle S \rangle$ ).  $\mathcal{F}_2$  is the same as  $\mathcal{F}_1$  except that Eq. (3.13) is replaced by

$$\sum_{\mathbf{q}_k} \frac{1}{\sqrt{2E_{q_k} V}} e^{-i\bar{Q}_k \cdot z_2} \sum_{\mathbf{p}_j} \frac{1}{\sqrt{2E_{p_j} V}} e^{i\bar{P}_j \cdot z_1} \langle b_{-\mathbf{p}_j}^\dagger b_{-\mathbf{q}_k} \rangle,$$

with  $z_1 \in \{\xi'\}$  ( $\in \langle S^\dagger \rangle$ ) and  $z_2 \in \{\xi\}$  ( $\in \langle S \rangle$ ). Adding the contributions from  $\mathcal{F}_1$  and from  $\mathcal{F}_2$ , we extract the relevant part, of which the Fourier transformation on  $z_1 - z_2$  is

$$i\Delta_{21}(X; P) \equiv 2\pi\delta(P^2 - m^2) \left[ \theta(p_0) + N\left(\frac{z_1 + z_2}{2}; p_0, \hat{\mathbf{p}}\right) \right]. \quad (3.14)$$

(D) Let us take a set of Feynman diagrams  $\mathcal{F}'_1$  and  $\mathcal{F}'_2$ .  $\mathcal{F}'_1$  contains

$$\sum_{\mathbf{p}_j} \frac{1}{\sqrt{2E_{p_j} V}} e^{-iP_j \cdot z_1} \sum_{\mathbf{q}_k} \frac{1}{\sqrt{2E_{q_k} V}} e^{iQ_k \cdot z_2} \langle a_{\mathbf{q}_k}^\dagger a_{\mathbf{p}_j} \rangle, \quad (3.15)$$

with  $z_1 \in \{\xi\}$  ( $\in \langle S \rangle$ ) and  $z_2 \in \{\xi'\}$  ( $\in \langle S^\dagger \rangle$ ).  $\mathcal{F}'_2$  is the same as  $\mathcal{F}'_1$  except that Eq. (3.15) is replaced by

$$\sum_{\mathbf{q}_k} \frac{1}{\sqrt{2E_{q_k} V}} e^{-i\bar{Q}_k \cdot z_2} \sum_{\mathbf{p}_j} \frac{1}{\sqrt{2E_{p_j} V}} \times e^{i\bar{P}_j \cdot z_1} (\delta_{\mathbf{p}_j, \mathbf{q}_k} + \langle b_{-\mathbf{p}_j}^\dagger b_{-\mathbf{q}_k} \rangle),$$

with  $z_1 \in \{\xi\}$  ( $\in \langle S \rangle$ ) and  $z_2 \in \{\xi'\}$  ( $\in \langle S^\dagger \rangle$ ). Adding the contributions from  $\mathcal{F}'_1$  and from  $\mathcal{F}'_2$ , we extract the relevant part, of which the Fourier transformation on  $z_1 - z_2$  is

$$i\Delta_{12}(X; P) \equiv 2\pi\delta(P^2 - m^2) \left[ \theta(-p_0) + N\left(\frac{z_1 + z_2}{2}; p_0, \hat{\mathbf{p}}\right) \right]. \quad (3.16)$$

The above derivation of  $i\Delta_{ij}$  ( $i, j = 1, 2$ ) is self-explanatory for their physical meaning or interpretation. The physical interpretation is summarized as generalized cutting rules, which is a generalization of Cutkosky's cutting rules in vacuum theory. (For more details, see [4].)

#### D. Closed-time-path formalism

$i\Delta_{ij}$  ( $i, j = 1, 2$ ) obtained above are nothing but the propagators in the closed-time path (CTP) formalism of out-of-equilibrium quantum field theory [5]. The CTP formalism is constructed on the directed time path  $C = C_1 \oplus C_2$  in a complex-time plane, where  $C_1 = (-\infty \rightarrow +\infty)$  and  $C_2 = (+\infty \rightarrow -\infty)$ . A field  $\phi(x_0, \mathbf{x})$  with  $x_0 \in C_1$  [ $x_0 \in C_2$ ] is denoted by  $\phi_1(x_0, \mathbf{x})$  [ $\phi_2(x_0, \mathbf{x})$ ] and is called a type-1 [type-2] field. The interaction Lagrangian density is of the form

$$\mathcal{L}_{\text{int}} = \mathcal{L}_{\text{int}}^{(1)} - \mathcal{L}_{\text{int}}^{(2)},$$

$$\mathcal{L}_{\text{int}}^{(i)} = -\frac{\lambda}{4}(\phi_i^\dagger \phi_i)^2 - \frac{g}{(n!)^2} \Phi_i (\phi_i^\dagger \phi_i)^n \quad (i=1,2).$$

Then, the vertex factor for the “type-1 vertex” that comes from  $\mathcal{L}_{\text{int}}^{(1)}$  is the same as in vacuum theory, while the vertex factor for the “type-2 vertex” is minus the corresponding “type-1 vertex factor.” The CTP propagators are defined by the statistical average of the time-path-ordered product of fields, which are written as

$$i\Delta_{11}(x,y) = \langle T\phi_1(x)\phi_1^\dagger(y) \rangle_c,$$

$$i\Delta_{22}(x,y) = \langle \bar{T}\phi_2(x)\phi_2^\dagger(y) \rangle_c \\ = [i\Delta_{11}(y,x)]^*,$$

$$i\Delta_{12}(x,y) = \langle \phi_2^\dagger(y)\phi_1(x) \rangle_c,$$

$$i\Delta_{21}(x,y) = \langle \phi_2(x)\phi_1^\dagger(y) \rangle_c, \quad (3.17)$$

where  $T$  ( $\bar{T}$ ) is the time-ordering (anti-time-ordering) symbol. In computing Eq. (3.17), one identifies  $\phi_2$  with  $\phi_1$ . Comparing Eq. (3.17) with the above deduction of  $\Delta_{ij}$  ( $i,j=1,2$ ), Eqs. (3.11), (3.12), (3.14), and (3.16), we see that  $x$  of  $\phi_1(x)$  in Eq. (3.17) corresponds to a vertex point in  $\langle S \rangle$  ( $\in \mathcal{W}$ ) and  $x$  of  $\phi_2(x)$  corresponds to a vertex point in  $\langle S^\dagger \rangle$ . The vertex factors in  $\langle S \rangle$  ( $\in \mathcal{W}$ ) are  $-i\lambda$  for the  $-\lambda(\phi^\dagger\phi)^2/4$  interaction and  $-ig$  for the  $-g\Phi(\phi^\dagger\phi)^n/(n!)^2$  interaction. Then, the vertex factors in  $\langle S^\dagger \rangle$  ( $\in \mathcal{W}$ ) are, in corresponding order to the above,  $i\lambda$  and  $ig$ . This is in accordance with the above-mentioned vertex factors in the CTP formalism.

### E. Reaction-probability formula

The observation made so far shows that  $\mathcal{N}$  in Eq. (2.16) with Eq. (3.5) corresponds to an amplitude in the CTP formalism of the “process”

$$\sum_{j=1}^l \Phi_{1j} + \sum_{j=1}^{l'} \Phi_{2j} \rightarrow \sum_{j=1}^l \Phi_{2j} + \sum_{j=1}^{l'} \Phi_{1j}. \quad (3.18)$$

As mentioned at the end of Sec. II, only connected  $\mathcal{N}$ 's contribute to the reaction probability  $\mathcal{P}$ . Thus, we finally obtain

$$\mathcal{P} = \left( \prod_{j=1}^l \int d^4x_j d^4x'_j F_j(x_j) F^*(x'_j) \right) \\ \times \left( \prod_{j=1}^{l'} \int d^4y_j d^4y'_j G_j^*(y_j) G_j(y'_j) \right) \\ \times \sum_{\text{diagrams}} \int d^4\omega_1 \cdots d^4\omega_{N_d} \mathcal{F}_i(X; \{(\omega_k - \omega_{k'})\}), \quad (3.19)$$

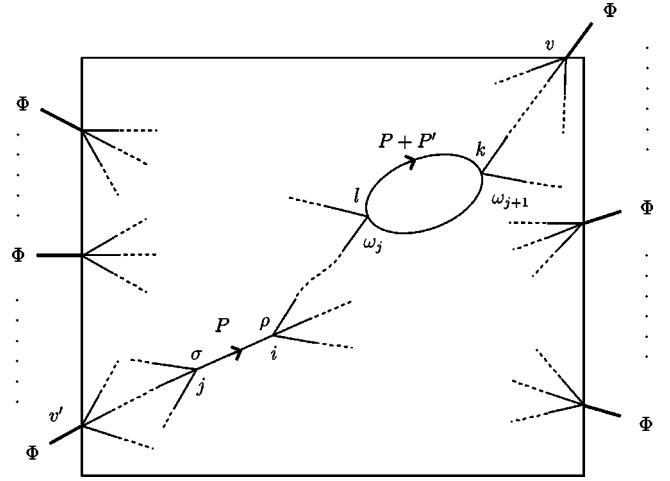


FIG. 1. A diagram for  $\mathcal{F}_i$  in Eq. (3.19).  $i, j, k$ , and  $l$  are the vertex type. Each  $\Phi$  is either type 1 or type 2.

where  $\mathcal{F}_i$  is a *connected* amplitude in the CTP formalism which includes all  $\Phi$ 's. In Eq. (3.19), we have used  $\{\omega\}$  for collectively denoting all the (external and internal) vertex points and the summation runs over diagrams. A pair of  $\omega$ 's,  $\omega_k$ , and  $\omega_{k'}$ , in a pair of brackets  $(\cdots)$  in  $\mathcal{F}_i$  denotes the vertex points that are connected by  $i\Delta_{kl}(\omega_{k(k')}, \omega_{k'(k)})$ .

Here some remarks are in order.

- (1) As mentioned at the beginning of this section, inclusion of the initial correlations (3.2) or (3.3) is straightforward.
- (2) Taking the infinite-volume limit  $V \rightarrow \infty$  goes as follows:

$$\sum_{\mathbf{p}} \rightarrow \frac{V}{(2\pi)^3} \int d^3p,$$

$$a_{\mathbf{p}} \rightarrow \sqrt{\frac{(2\pi)^3}{V}} a(\mathbf{p}), \quad \text{etc.}$$

The above deduction shows that there is no finite-volume correction, in the sense that there do not exist extra contributions to  $\mathcal{N}$ , which disappear in the limit  $V \rightarrow \infty$ . It should be stressed that this statement holds for periodic boundary conditions.

(3) It is clear from the above deduction (cf. Secs. III B and III C) that the CTP formalism here is formulated in terms of the “bare” number density of quasiparticles. A canonical CTP formalism is formulated in terms of the physical or observed number density of quasiparticles. How to translate the former into the latter is discussed in [10].

Finally, we make a comment on gauge theories. If we choose a physical gauge like the Coulomb gauge or the Landshoff-Rebhan variant [11] of a covariant gauge, the gauge boson may be dealt with in a similar manner to the above scalar-field case. If we adopt a traditional covariant gauge, a straightforward modification is necessary.



## IV. COMPUTATIONAL PROCEDURE

In this section, we present a concrete procedure of computing the reaction probability  $\mathcal{P}$  up to  $n$ th-order terms with respect to the  $X_\mu$  derivatives.

(1) From  $\mathcal{F}_i$  in Eq. (3.19), we pick out  $i\Delta_{ij}(\rho, \sigma)$ ,

$$\Delta_{ij}(\rho, \sigma) = \oint \mathcal{D}^4 P e^{-iP \cdot (\rho - \sigma)} \Delta_{ij} \left( \frac{\rho + \sigma}{2}; P \right). \quad (4.1)$$

Since  $\mathcal{F}_i$  includes  $\Phi$ 's, the vertex point  $\rho$  [ $\sigma$ ] is connected<sup>3</sup> with a vertex point  $v$  [ $v'$ ]  $\in \mathcal{V}_\Phi$  (cf. Fig. 1):

$$\frac{\rho + \sigma}{2} = \frac{1}{2} \left[ - \sum_{j=0}^k (\omega_{j+1} - \omega_j) + \sum_{j=0}^{k'} (\omega'_j - \omega'_{j+1}) + v + v' \right], \quad (4.2)$$

where  $\omega_0 = \rho$ ,  $\omega'_0 = \sigma$ ,  $\omega_{k+1} = v$ ,  $\omega'_{k'+1} = v'$ , with  $v, v' \in \mathcal{V}_\Phi$ . In Eq. (4.2), each pair of spacetime points in a pair of brackets,  $\omega_{j+1}$  and  $\omega_j$  [ $\omega'_j$  and  $\omega'_{j+1}$ ], is connected by one or several  $i\Delta_{kl}(\omega_{j+1}, \omega_j)$  [ $i\Delta_{k'l'}(\omega'_j, \omega'_{j+1})$ ] in  $\mathcal{F}_i$  (cf. Fig. 1). Here, we note that  $v$  and  $v'$  may be written as

$$v = X + \tilde{v}, \quad v' = X + \tilde{v}', \quad (4.3)$$

where  $X$  is the midpoint of the external-vertex points, around which the reaction is taking place:

$$X = \frac{1}{2(l+l')} \left[ \sum_{j=1}^l (x_j + x'_j) + \sum_{j=1}^{l'} (y_j + y'_j) \right].$$

(2) Using Eqs. (4.2) and (4.3), we expand  $\Delta_{ij}((\rho + \sigma)/2; P)$  in Eq. (4.1) as

$$\begin{aligned} \Delta_{ij} \left( \frac{\rho + \sigma}{2}; P \right) &= \Delta_{ij}(X; P) + \frac{1}{2} \left[ - \sum_{j=0}^k (\omega_{j+1} - \omega_j) \right. \\ &\quad \left. + \sum_{j=0}^{k'} (\omega'_j - \omega'_{j+1}) + \tilde{v} + \tilde{v}' \right] \cdot \partial_X \Delta_{ij}(X; P) \\ &\quad + \dots, \end{aligned} \quad (4.4)$$

where “...” stands for terms with higher-order derivatives with respect to  $X$ . The series (4.4) is truncated at the  $n$ th-order terms with respect to the  $X_\mu$  derivatives. The approximation in which “...” is ignored is called the gradient approximation.

<sup>3</sup>Note that, in general, the vertex points  $v$  and  $v'$  are not uniquely singled out. ( $v$  can coincide with  $v'$ .) However, different choices of  $v$  and  $v'$  lead to the same reaction probability  $\mathcal{P}$  within the accuracy under consideration.

(3) Let us deal with the term with  $(\omega_{j+1} - \omega_j)$  in Eq. (4.4). It can easily be shown that  $(\omega_{j+1} - \omega_j) i\Delta_{kl}(\omega_{j+1}, \omega_j)$  becomes<sup>4</sup>

$$\begin{aligned} &(\omega_{j+1} - \omega_j)^\mu \oint \mathcal{D}^4 P' e^{-i(P+P') \cdot (\omega_{j+1} - \omega_j)} \\ &\quad \times i\Delta_{kl} \left( \frac{\omega_{j+1} + \omega_j}{2}; P + P' \right) \\ &= \oint \mathcal{D}^4 P' e^{-i(P+P') \cdot (\omega_{j+1} - \omega_j)} \\ &\quad \times \frac{\partial}{i\partial P'_\mu} i\Delta_{kl} \left( \frac{\omega_j + \omega_{j+1}}{2}; P + P' \right). \end{aligned}$$

Other terms and higher  $X^\mu$ -derivative terms “...” in Eq. (4.4) may be dealt with similarly. All other parts of  $\mathcal{F}_i$ , Eq. (3.19), than the one (4.1) may be dealt with similarly.

(4) Carrying out the integrations over all vertex points except those in  $\mathcal{V}_\Phi$ , we have momentum-conservation  $\delta$  functions at each internal vertex point.

As discussed at the beginning of Sec. III, the wave functions of  $\Phi$ 's should be localized within the space region  $\leq L^i$  ( $i=1,2,3$ ). However, for simplicity, we assume in the sequel that the wave functions of  $\Phi$ 's are of plane-wave form<sup>5</sup>

$$\begin{aligned} F_j(x) &= e^{-iR_j \cdot x} / (2V \sqrt{r_j^2 + M^2})^{1/2}, \\ G_j(y) &= e^{-iR'_j \cdot y} / (2V \sqrt{r_j'^2 + M^2})^{1/2}. \end{aligned} \quad (4.5)$$

(5) We carry out the integrations over all vertex points in  $\mathcal{V}_\Phi$  to yield momentum-conservation  $\delta$  functions at those vertex points and we are left with integrations over the independent or loop momenta. Keeping the terms up to the  $n$ th-order terms with respect to the  $X_\mu$  derivatives, we obtain the final formula, which may be written in the form

$$\mathcal{P} = \int d^4 X A(X; R'_1, \dots, R'_{l'}; R_1, \dots, R_l). \quad (4.6)$$

Note that  $A$  depends weakly on  $X$  through  $N(X; Q_k)$ 's. From Eq. (4.6), we see that  $A$  is the reaction rate per unit volume. Incidentally, were it not for this  $X$  dependence, integration

<sup>4</sup>As in the case of some self-energy-type subdiagram, there are several  $i\Delta_{kl}(\omega_{j+1}, \omega_j)$ 's [ $i\Delta_{k'l'}(\omega'_j, \omega'_{j+1})$ 's] (cf. Fig. 1). In such a case, one chooses any one of them.

<sup>5</sup>It is to be noted that, if we use the plane-wave form (4.5) in Eq. (2.19), the  $X$  dependence disappears. In the procedure presented here, the  $X$  dependence of  $\mathcal{N}$  is already (partially) taken into account before arriving at (4).

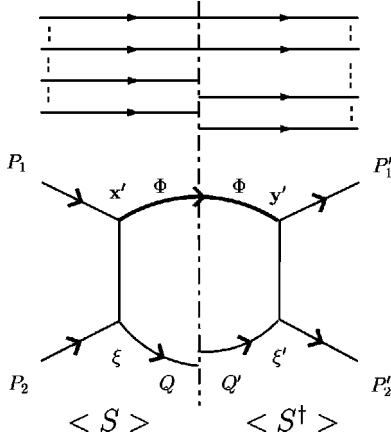


FIG. 2. A diagram representing  $\mathcal{N}$ , Eq. (2.2b), for the process (4.7). The spacetime points  $\xi$  and  $x'$  ( $\xi'$  and  $y'$ ) are connected by a vacuum-theory propagator. The dot-dashed line stands for the final-state-cut line. The group of particles on top of the figure represents the spectator particles.

over  $X$  in Eq. (4.6) would yield  $VT$ , where  $V$  is the volume of the system and  $T=t_f-t_i$  is the time interval during which the reaction takes place. In the limit  $V, T \rightarrow \infty$ , the  $VT$  becomes

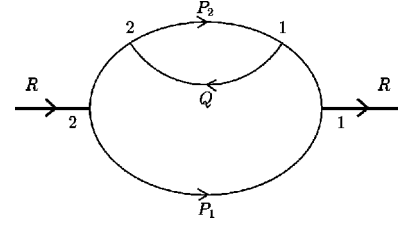


FIG. 3. An amplitude for the “process”  $\Phi_2(R) \rightarrow \Phi_1(R)$  in the CTP formalism, a part of which represents the contribution (4.8).

$$\lim_{V, T \rightarrow \infty} VT = (2\pi)^4 \delta^4(0).$$

### Example

Here, for the purpose of illustration, we deal with the heavy- $\Phi$  production process

$$\text{out-of-equilibrium system} \rightarrow \Phi + \text{anything}. \quad (4.7)$$

The system is composed of a real scalar  $\phi$  with  $\mathcal{L}_{\text{int}} = -\lambda \phi^3/3!$ , and  $\Phi$  interacts with  $\phi$  through  $\mathcal{L}_{\phi\Phi} = -g\Phi\phi^2/2$ . We analyze the contribution from Fig. 2 for  $\mathcal{P}$  in Eqs. (2.2). Using Eq. (2.16), we have

$$\begin{aligned} \mathcal{N} = & g^2 \lambda^2 \int d^4 x' G^*(x') \int d^4 y' G(y') \sum_{\mathbf{p}_1} \frac{1}{\sqrt{2E_{p_1} V}} e^{-iP_1 \cdot x'} \int d^4 \xi \sum_{\mathbf{p}_2} \frac{1}{\sqrt{2E_{p_2} V}} e^{-iP_2 \cdot \xi} \\ & \times \sum_{\mathbf{q}} \frac{1}{\sqrt{2E_q V}} e^{iQ \cdot \xi} \sum_{\mathbf{p}'_1} \frac{1}{\sqrt{2E_{p'_1} V}} e^{iP'_1 \cdot y'} \int d^4 \xi' \sum_{\mathbf{p}'_2} \frac{1}{\sqrt{2E_{p'_2} V}} e^{iP'_2 \cdot \xi'} \\ & \times \sum_{\mathbf{q}'} \frac{1}{\sqrt{2E_{q'} V}} e^{-iQ' \cdot \xi'} Si\Delta^{(0)}(\xi - x')(i\Delta^{(0)}(\xi' - y'))^*, \end{aligned}$$

where  $\Delta^{(0)}$  is the vacuum-theory propagator of  $\phi$  and  $S$  [cf. Eq. (2.10)] takes the form

$$S = \langle a_{\mathbf{p}_1}^\dagger a_{\mathbf{p}_2}^\dagger a_{\mathbf{q}'} a_{\mathbf{q}}^\dagger a_{\mathbf{p}_1} a_{\mathbf{p}_2} \rangle = \langle a_{\mathbf{p}_1}^\dagger a_{\mathbf{p}_2}^\dagger (\delta_{\mathbf{q}', \mathbf{q}} + a_{\mathbf{q}}^\dagger a_{\mathbf{q}'} ) a_{\mathbf{p}_1} a_{\mathbf{p}_2} \rangle.$$

We compute the contributions that include only two-point functions. If necessary, the contributions including initial correlations may be written down in a straightforward manner. Keeping the terms that do not vanish kinematically, we have

$$S = S_1 + S_2, \quad S_1 = \langle a_{\mathbf{p}_1}^\dagger a_{\mathbf{p}_1} \rangle \langle a_{\mathbf{p}_2}^\dagger a_{\mathbf{p}_2} \rangle [\delta_{\mathbf{q}, \mathbf{q}'} + \langle a_{\mathbf{q}}^\dagger a_{\mathbf{q}'} \rangle], \quad S_2 = S_1|_{\mathbf{p}_1 \leftrightarrow \mathbf{p}_2}.$$

We compute the contribution  $\mathcal{N}_1$  from  $S_1$ . The contribution from  $S_2$  may be computed similarly. Following the procedure presented above, we obtain

$$\begin{aligned} \mathcal{N}_1 = & g^2 \lambda^2 \int d^4 x' G^*(x') \int d^4 y' G(y') \int d^4 \xi \int d^4 \xi' \oint \mathcal{D}^4 P_1 e^{-iP_1 \cdot (x' - y')} 2\pi \delta_+(P_1^2 - m^2) N\left(\frac{x' + y'}{2}; P_1\right) \\ & \times \oint \mathcal{D}^4 P_2 e^{-iP_2 \cdot (\xi - \xi')} 2\pi \delta_+(P_2^2 - m^2) N\left(\frac{\xi + \xi'}{2}; P_2\right) \oint \mathcal{D}^4 Q e^{-iQ \cdot (\xi' - \xi)} 2\pi \delta_+(Q^2 - m^2) \left\{ 1 + N\left(\frac{\xi + \xi'}{2}; Q\right) \right\} \\ & \times \oint \mathcal{D}^4 P' e^{-iP' \cdot (\xi - x')} \frac{i}{P'^2 - m^2 + i0^+} \oint \mathcal{D}^4 Q' e^{-iQ' \cdot (y' - \xi')} \frac{-i}{Q'^2 - m^2 - i0^+}. \end{aligned}$$

Here we observe that

$$\frac{\xi + \xi'}{2} - \frac{x' + y'}{2} = \frac{1}{2}[(\xi - x') + (\xi' - y')] \rightarrow \frac{-i}{2} \left( \frac{\partial}{\partial P'} - \frac{\partial}{\partial Q'} \right),$$

where the partial derivatives are understood to act on the “propagators” in momentum representation.

Making the plane-wave approximation for  $G(x)$ ,

$$G(x) = \frac{e^{-iR \cdot x}}{\sqrt{2E_\Phi V}} \quad (E_\Phi = \sqrt{r^2 + M^2}),$$

we finally obtain, within the gradient approximation,

$$\begin{aligned} \mathcal{N}_1 \simeq & \frac{g^2 \lambda^2}{2E_\Phi V} \int d^4 X \oint \mathcal{D}^4 P_1 \oint \mathcal{D}^4 P_2 [2\pi \delta_+(P_1^2 - m^2) \tilde{N}(X; P_1)] [2\pi \delta_+(P_2^2 - m^2) \tilde{N}(X_2; P_2)] \\ & \times [2\pi \delta_+(Q^2 - m^2) \{1 + \tilde{N}(X_1; Q)\}] \left[ 1 - \frac{i}{2} (\tilde{\partial}_{X_2} + \tilde{\partial}_{X_1}) \cdot \left( \frac{\vec{\partial}}{\partial P'} - \frac{\vec{\partial}}{\partial Q'} \right) \right] \frac{1}{P'^2 - m^2 + i0^+} \\ & \times \frac{1}{Q'^2 - m^2 - i0^+} \Bigg|_{X_1 = X_2 = X, Q' = P'}, \end{aligned} \quad (4.8)$$

where  $X = (x' + y')/2$  and  $P' = Q' = P_1 - R$  and  $Q = P_2 + P_1 - R$ .

Equation (4.8) corresponds to a contribution to the amplitude in the CTP formalism of the “process” [cf. Eq. (3.18)],  $\Phi_2(R) \rightarrow \Phi_1(R)$ , and constitutes a part of the diagram as depicted in Fig. 3 in the CTP formalism. As a matter of fact, Eq. (4.8) represents Fig. 3 with  $(p_{10} > 0, p_{20} > 0, q_0 > 0)$  plus Fig. 3 with  $(p_{10} > 0, p_{20} < 0, q_0 < 0)$ .

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